

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF PENNSYLVANIA

MARTIN HOWARD, Individually and on Behalf)	Civ. Action No. 2:17-cv-01057-MRH
of All Others Similarly Situated,)	(Consolidated)
))
Plaintiff,)	<u>CLASS ACTION</u>
))
vs.)	PROOF OF CLAIM AND RELEASE
))
ARCONIC INC., et al.,))
))
Defendants.))
))
_____))

I. GENERAL INSTRUCTIONS

1. To recover as a Member of the Settlement Class based on your claims in the action *Howard v. Arconic Inc., et al.*, Case No. 2:17-cv-01057-MRH (the “Litigation”), you must complete and, on page 10 hereof, sign this Proof of Claim and Release (“Claim Form” or “Proof of Claim”).¹ If you fail to submit a properly addressed (as set forth in paragraph 3 below) Claim Form, postmarked or received by the date shown below, your claim may be rejected and you may be precluded from any recovery from the Net Settlement Fund created in connection with the proposed resolution of the Litigation in accordance with the terms and provisions of the Stipulation (the “Settlement”).

2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement.

3. YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED AND SIGNED PROOF OF CLAIM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, NO LATER THAN AUGUST 21, 2023, TO THE COURT-APPOINTED CLAIMS ADMINISTRATOR IN THIS CASE, AT THE FOLLOWING ADDRESS:

Arconic Securities Settlement
Claims Administrator
c/o A.B. Data, Ltd.
P.O. Box 173091
Milwaukee, WI 53217
Online Submissions: www.ArconicSecuritiesSettlement.com

Do not mail or deliver your Claim Form to the Court, the parties to the Litigation, or their counsel. Submit your Claim Form only to the Claims Administrator at the address set forth above. If you are NOT a Member of the Settlement Class (as defined below and in the Stipulation, as well as explained in Item 4 of the Notice of Pendency and Proposed Settlement of Class Action (the “Notice”)), DO NOT submit a Proof of Claim.

¹ This Proof of Claim incorporates by reference the definitions in the Stipulation of Settlement dated April 21, 2023 (“Stipulation”), which can be obtained at www.ArconicSecuritiesSettlement.com. In the event any defined term herein or in the Notice conflicts with the defined term as used in the Stipulation, the Stipulation controls.

4. If you are a Member of the Settlement Class and you do not validly and timely request exclusion in accordance with the requirements set by the Court, you will be bound by the Settlement and the terms of any judgment and release entered in the Litigation, including the releases provided therein, including, but not limited to, the Judgment, **WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM.**

5. It is important that you completely read and understand the Notice that accompanies this Claim Form, including the Plan of Allocation of the Net Settlement Fund set forth in the Notice. The Notice describes the proposed Settlement and Stipulation, how the Settlement Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved by the Court. The Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) from the Stipulation and otherwise used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Notice, including the terms of the releases described therein and provided for herein.

II. CLAIMANT IDENTIFICATION

You are a Member of the Settlement Class if you purchased or otherwise acquired: (i) Arconic securities between November 4, 2013 and June 27, 2017, both dates inclusive, including for the avoidance of doubt any Arconic Depository Shares; and (ii) Arconic Depository Shares, each representing a 1/10th interest in a share of 5.375% Class B Mandatory Convertible Preferred Stock, Series 1, par value \$1 per share, liquidation preference \$500 per share pursuant to and/or traceable to the Registration Statement and Prospectus issued in connection with Arconic's September 18, 2014 initial public preferred stock offering.

Excluded from the Settlement Class are: (i) the Defendants; (ii) the current and Settlement Class Period officers and directors of Arconic and the Underwriter Defendants; (iii) the Immediate Family Members of the Individual Defendants; and (iv) the legal representatives, affiliates, heirs, successors-in-interest or assigns of any such excluded party and any entity in which such excluded parties have or had a controlling interest, *provided, however*, that any Investment Vehicle shall not be excluded from the Settlement Class.² Also excluded from the Settlement Class is any Person who would otherwise be a Member of the Settlement Class but who validly and timely requests exclusion in accordance with the requirements set by the Court in connection with the Settlement.

Use Part I of this form entitled "Claimant Identification" to identify each purchaser or acquirer of record ("nominee"), if different from the beneficial purchaser or acquirer of the Arconic Securities that forms the basis of this claim. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR ACQUIRER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S) OR ACQUIRER(S) OF THE ARCONIC SECURITIES UPON WHICH THIS CLAIM IS BASED.**

All joint purchasers or acquirers must sign this Claim Form. Executors, administrators, representatives, guardians, agents, conservators, and trustees must complete and sign this Claim Form on behalf of Persons represented by them and evidence of their authority must accompany this Claim Form and their titles or capacities must be stated. The last four digits of the Social Security Number (or full Taxpayer Identification Number) and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

If you are acting in a representative capacity on behalf of a Member of the Settlement Class (for example, as an executor, administrator, agent, trustee, or other representative), you must submit evidence of your current authority to act on behalf of that Member of the Settlement Class. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.

One claim should be submitted for each separate legal entity. Separate Claim Forms should be submitted for each separate legal entity (*e.g.*, a claim from joint owners should not include separate transactions of just one of the joint owners,

² "Investment Vehicle" means any investment company, separately managed account, or pooled investment fund, including but not limited to, mutual fund families, exchange traded funds, fund of funds and hedge funds, and employee benefit plans, in which Defendants, or any of them, have, has or may have a direct or indirect interest, or as to which its affiliates may act as an investment advisor, but in which any Defendant alone or together with its, his or her respective affiliates is not a majority owner or does not hold a majority beneficial interest.

and an individual should not combine his or her IRA transactions with transactions made solely in the individual's name). Conversely, a single Claim Form should be submitted on behalf of one legal entity including all transactions made by that entity on one Claim Form, no matter how many separate accounts that entity has (e.g., a corporation with multiple brokerage accounts should include all transactions made in all accounts on one Claim Form).

NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request to, or may be requested to, submit information regarding their transactions in electronic files. All claimants **MUST** submit a manually signed paper Proof of Claim listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator via email at info@ArconicSecuritiesSettlement.com or toll-free at 866-963-9979 to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgement of receipt and acceptance of electronically submitted data.

III. CLAIM FORM

Use Part II of this form entitled "Schedule of Transactions in Arconic Securities" to supply all required details of your transaction(s) in Arconic Securities. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

Please note that only the Arconic Securities purchased or otherwise acquired during the Settlement Class Period (*i.e.*, between November 4, 2013 and June 27, 2017, both dates inclusive) are eligible under the Plan of Allocation to potentially share in the proceeds of the Settlement. However, under the "90-Day Lookback Period" (described in the Plan of Allocation set forth in the Notice), your sales of Arconic Securities during the period from June 27, 2017 through and including the close of trading on September 22, 2017, will be used for purposes of calculating Recognized Loss amounts under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested transaction information during the 90-Day Lookback Period must also be provided. Furthermore, in order to calculate the Recognized Loss amount for the Preferred Stock for claims under the Securities Act, requested transaction information during the period from June 27, 2017, through and including the close of trading on September 29, 2017, must also be provided. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**

List these transactions separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

For purpose of the Plan of Allocation, for short-sale transactions, the date of covering a "short sale" is deemed to be the date of purchase of Arconic Securities, and the date of a "short sale" is deemed to be the date of sale of Arconic Securities.

For each transaction, you must provide, together with this Claim Form, copies of stockbroker confirmation slips, stockbroker statements, or other documents adequately evidencing your transactions in Arconic Securities. If any such documents are not in your possession, please obtain a copy or equivalent documents from your broker because these documents are necessary to prove and process your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim.

PLEASE NOTE: As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, their, or its *pro rata* share of the Net Settlement Fund. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF PENNSYLVANIA
Howard v. Arconic Inc., et al.
Case No. 2:17-cv-01057

PROOF OF CLAIM AND RELEASE

Must Be Postmarked (if mailed) or Received (if submitted online) No Later Than: **August 21, 2023**

Please Type or Print

REMEMBER TO ATTACH COPIES OF BROKER CONFIRMATIONS OR OTHER DOCUMENTATION OF YOUR TRANSACTIONS IN ARCONIC SECURITIES. FAILURE TO PROVIDE THIS DOCUMENTATION COULD DELAY VERIFICATION OF YOUR CLAIM OR RESULT IN REJECTION OF YOUR CLAIM.

PART I. CLAIMANT IDENTIFICATION

Beneficial Owner's Name *(as it appears on your brokerage statement)*

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Joint Beneficial Owner's Name *(as it appears on your brokerage statement)*

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Trustee/Asset Manager/Nominee/Record Owner's Name *(if different from beneficial owner listed above)*

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Account Number

--

Last 4 digits of Social Security Number

-or-

Taxpayer Identification Number

--	--

Street Address

--

City

State/Province

ZIP Code

--	--	--

Foreign Province

Foreign Postal Code

Foreign Country

--	--	--

Telephone Number (Day)

Telephone Number (Evening)

--	--

Email Address (email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim)

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Type of Beneficial Owner:

Specify one of the following:

Individual(s) Corporation UGMA Custodian IRA Partnership

Estate Trust Other (describe): _____

PART II. SCHEDULE OF TRANSACTIONS IN ARCONIC SECURITIES

ARCONIC COMMON STOCK^{3,4}

Total Shares Held

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A. Number of shares of Arconic common stock held at the opening of trading on November 4, 2013 (*must be documented*). If none, write “zero” or “0”:

B. Purchases or acquisitions of Arconic common stock between November 4, 2013 and September 22, 2017, inclusive (*must be documented*):

Trade Date Month/Day/Year	Number of Shares Purchased or Acquired	Purchase or Acquisition Price Per Share	Total Purchase / Acquisition Price (excluding commissions, taxes, and other fees)	Were shares acquired as a result of a corporate merger? Yes/No

C. Sales of Arconic common stock between November 4, 2013 and September 22, 2017, inclusive (*must be documented*):

Trade Date Month/Day/Year	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding commissions, taxes, and other fees)

D. Number of shares of Arconic common stock held at the close of trading on September 22, 2017 (*must be documented*). If none, write “zero” or “0”:

Total Shares Held

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If you require additional space, attach extra schedules in the same format as above. Sign and print your name and the last four digits of your Social Security or Taxpayer Identification Number on each additional page.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 10. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

³ On November 1, 2016, Alcoa Inc. completed the separation of its businesses into two independent, publicly-traded companies: Alcoa Corporation and Arconic Inc. (the “Spin-Off”). Throughout the Settlement Class Period, the common stock was listed on the New York Stock Exchange (“NYSE”). Prior to the Spin-Off, the common stock traded under the ticker symbol “AA.” Following the Spin-Off through the end of the Settlement Class Period, the common stock traded under the ticker symbol “ARNC.”

⁴ After market close on October 5, 2016, the Company completed a 1-for-3 reverse split for its common stock. Herein, unless otherwise stated, common stock prices and quantities are reported on a split-adjusted basis.

ARCONIC 1.625% CONVERTIBLE SENIOR NOTES MATURED OCTOBER 15, 2019

A. Quantity (Par Value) of Arconic 1.625% Convertible Notes held at the opening of trading on March 9, 2015 (*must be documented*). If none, write “zero” or “0”:

Total Notes Held (Par Value)

\$

B. Purchases or acquisitions of Arconic 1.625% Convertible Notes between March 9, 2015 and September 22, 2017, inclusive (*must be documented*):

Trade Date (list chronologically) Month/Day/Year	Quantity of Convertible Notes Purchased or Acquired (Par Value)	Purchase or Acquisition Price Per Note	Total Purchase / Acquisition Price (excluding commissions, taxes, and other fees)

C. Sales of Arconic 1.625% Convertible Notes between March 9, 2015 and September 22, 2017, inclusive (*must be documented*):

Trade Date (list chronologically) Month/Day/Year	Quantity of Convertible Notes Sold (Par Value)	Sale Price Per Note	Total Sales Price (excluding commissions, taxes, and other fees)

D. Quantity (Par Value) of Arconic 1.625% Convertible Notes held at the close of trading on September 22, 2017 (*must be documented*). If none, write “zero” or “0”:

Total Notes Held (Par Value)

\$

If you require additional space, attach extra schedules in the same format as above. Sign and print your name and the last four digits of your Social Security or Taxpayer Identification Number on each additional page.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 10. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

ARCONIC PREFERRED STOCK (DEPOSITARY SHARES)⁵

- A. Purchases or acquisitions of Arconic Preferred Stock pursuant and/or traceable to the Registration Statement and Prospectus for the September 2014 initial public offering during the period September 17, 2014 through September 29, 2017, inclusive (*must be documented*):

Trade Date Month/Day/Year	Number of Shares Purchased or Acquired	Purchase or Acquisition Price Per Share	Total Purchase / Acquisition Price (excluding commissions, taxes, and other fees)

- B. Sales of Arconic Preferred Stock between September 17, 2014 through September 29, 2017, inclusive (*must be documented*):

Trade Date Month/Day/Year	Number of Shares Sold	Sale Price Per Share	Total Sales Price (excluding commissions, taxes, and other fees)

- C. Number of shares of Arconic Preferred Stock held at the close of trading on September 29, 2017 (*must be documented*). If none, write “zero” or “0”:

Total Shares Held

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If you require additional space, attach extra schedules in the same format as above. Sign and print your name and the last four digits of your Social Security or Taxpayer Identification Number on each additional page.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 10. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

⁵ The Company completed the initial public offering of the Preferred Stock during the Settlement Class Period in September 2014. The Company offered 25,000,000 depositary shares at \$50 per share, each of which represented a 1/10th interest in a share of the Company’s 5.375% Class B Mandatory Convertible Preferred Stock, Series 1. On September 17, 2014, the Preferred Stock was listed on the NYSE under the ticker symbol “AA.PB.” Following the Spin-Off, the Preferred Stock was listed on the NYSE under the ticker symbol “ARNC.PB.” On October 1, 2017, all outstanding shares of Preferred Stock were mandatorily converted into shares of common stock.

IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (We) submit this Proof of Claim under the terms of the Stipulation described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Western District of Pennsylvania with respect to my (our) claim as a Member of the Settlement Class and for purposes of enforcing the releases and covenants set forth herein, and enforcing the Stipulation and any judgment or release that may be entered in the Litigation. I (We) further acknowledge that I am (we are) bound by and subject to the terms of the Stipulation and any judgment or release that may be entered in the Litigation, including the releases and the covenants set forth herein. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim in connection with the purchase or acquisition of Arconic Securities during the Settlement Class Period and know of no other Person having done so on my (our) behalf.

V. RELEASES

1. Upon the Effective Date of the Settlement, I (We), on behalf of myself (ourselves), and my (our) respective heirs, executors, administrators, predecessors, successors, and assigns in their capacities as such, and on behalf of any other Person legally entitled to bring Released Plaintiffs' Claims on my (our) behalf, hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever waive, compromise, settle, discharge, extinguish, resolve, relinquish, dismiss with prejudice and release each and every one of the Released Plaintiffs' Claims against each and every one of the Released Defendant Parties, as set forth fully in ¶¶4.2-4.5 of the Stipulation.

2. "Arconic Entities" means Howmet Aerospace Inc. (formerly known as Arconic Inc. and formerly known as Alcoa Inc.) and Arconic Corporation.

3. "Immediate Family Members" means current and former spouses, parents, stepparents, foster parents, fathers-in-law, mothers-in-law, children, stepchildren, foster children, sons-in-law, daughters-in-law, grandparents, grandchildren, brothers, sisters, brothers-in-law, sisters-in-law, aunts, uncles, nieces, nephews, and first cousins. First cousin means the child of a parent's sibling, *i.e.*, the child of an aunt or uncle.

4. "Related Parties" means any Person's former, present or future parents, subsidiaries, divisions, controlling Persons, associates, related entities and affiliates, any entity in which a Person has a controlling interest, and each and all of the Person's and their respective present and former employees, independent contractors, members, partners, principals, officers, directors, equity holders, managers, servants, agents, attorneys, advisors (including financial or investment advisors), accountants, auditors, consultants, underwriters, investment bankers, commercial bankers, entities providing fairness opinions, general or limited partners or partnerships, limited liability companies, members, joint ventures and insurers and reinsurers or co-insurers of each of them; as well as the predecessors, successors, sister corporations, estates, Immediate Family Members, heirs, executors, guardians, conservators, legatees, devisees, receivers, settlors, beneficiaries, trusts, trustees, administrators, agents, legal or any other representatives, assigns, assignors, and assignees of each of them.

5. "Released Defendant Party" or "Released Defendant Parties" mean each and all of Defendants, Defendants' Counsel, the Arconic Entities, and any of their Related Parties. The Released Defendant Parties, excluding Defendants themselves, are intended as third-party beneficiaries of this Settlement with respect to the release of the Released Plaintiffs' Claims and any other provisions of the Settlement and the Stipulation accruing to their benefit.

6. "Released Defendants' Claims" means any and all claims, rights and causes of action, duties, obligations, demands, actions, debts, sums of money, suits, contracts, agreements, judgments, matters, issues, promises, damages and liabilities, including both known and Unknown Claims, of every nature and description whatsoever, whether contingent or non-contingent, direct or indirect, mature or not mature, accrued or not accrued, concealed or hidden, or suspected or unsuspected, including any claims arising under federal or state statutory or common law or any other law, rule or regulation, whether foreign or domestic, in law, in contract, or in equity, regardless of legal or equitable theory, whether class or individual in nature, that arise out of or relate in any way to the institution, prosecution, or settlement of the claims in the Litigation against the Defendants. Notwithstanding the foregoing, Released Defendants' Claims does not include (i) any claims relating to the enforcement of the Settlement; (ii) any claims between the Released Defendant Parties and their respective insurers and all indemnification or contribution claims between and among the Released Defendant Parties; and (iii) any claims of any shareholder that validly and timely requests exclusion in accordance with the requirements set by the

Court in connection with the Settlement and whose exclusion from the Settlement Class is confirmed by the Court in the Judgment.

7. “Released Plaintiffs’ Claims” means any and all claims, rights and causes of action, duties, obligations, demands, actions, losses, debts, sums of money, suits, contracts, agreements, judgments, matters, issues, promises, damages and liabilities, including both known and Unknown Claims, of every nature and description whatsoever, whether contingent or non-contingent, direct or indirect, mature or not mature, accrued or not accrued, concealed or hidden, or suspected or unsuspected, including any claims arising under federal or state statutory or common law or any other law, rule or regulation, whether foreign or domestic, in law, in contract, or in equity, regardless of legal or equitable theory, whether direct, representative, class, derivative or individual in nature, that have been asserted, could have been asserted, or could be asserted in the future in any forum against any of the Released Defendant Parties that (i) in any way arise out of, or relate to, or are based upon, directly or indirectly, any of the allegations, transactions, acts, facts, events, matters, occurrences, representations or omissions involved, set forth, alleged or referred to in the Litigation, and (ii) in any way are based upon, arise out of, or are related to, directly or indirectly, the purchase, acquisition, sale, disposition, or holding of Arconic securities purchased or otherwise acquired during the Settlement Class Period, including shares that are traceable to the Preferred IPO. Notwithstanding the foregoing, Released Plaintiffs’ Claims do not include (i) any claims relating to the enforcement of the Settlement; (ii) any pending derivative or ERISA claims; and (iii) any claims of any shareholder that validly and timely requests exclusion in accordance with the requirements set by the Court in connection with the Settlement and whose exclusion from the Settlement Class is confirmed by the Court in the Judgment.

8. “Releasing Plaintiff Party” or “Releasing Plaintiff Parties” mean each and every Settlement Class Member, Lead Plaintiff, Lead Plaintiff’s Counsel, and each of their Related Parties. Releasing Plaintiff Parties do not include any Person who would otherwise be a Member of the Settlement Class but who or which has validly and timely excluded himself, herself, themselves, or itself therefrom.

9. “Unknown Claims” means (a) any and all Released Plaintiffs’ Claims that any of the Releasing Plaintiff Parties do not know or suspect to exist in his, her, their, or its favor at the time of the release of the Released Defendant Parties, which, if known by him, her, them, or it, might have affected his, her, their, or its settlement with and release of the Released Defendant Parties, or might have affected his, her, their, or its decision(s) with respect to the Settlement, including, but not limited to, whether or not to object to this Settlement; and (b) any and all Released Defendants’ Claims that any of the Released Defendant Parties do not know or suspect to exist in his, her, their, or its favor at the time of the release of Lead Plaintiffs, the Settlement Class, and Lead Plaintiffs’ Counsel, which, if known by him, her, them, or it, might have affected his, her, their, or its settlement and release of Lead Plaintiffs, the Settlement Class, and Lead Plaintiffs’ Counsel. With respect to (a) any and all Released Plaintiffs’ Claims against the Released Defendant Parties, and (b) any and all Released Defendants’ Claims against Lead Plaintiffs, the Settlement Class, and Lead Plaintiffs’ Counsel, the Settling Parties stipulate and agree that, upon the Effective Date, the Settling Parties shall expressly waive, and each Releasing Plaintiff Party and Released Defendant Party shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party.

The Settling Parties shall expressly waive, and each Releasing Plaintiff Party and Released Defendant Party shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States, or principle of common law or foreign law, which is similar, comparable, or equivalent to California Civil Code §1542. The Releasing Plaintiff Parties and Released Defendant Parties acknowledge, and shall be deemed by operation of law to have acknowledged, that they may hereafter discover facts, legal theories, or authorities in addition to or different from those which he, she, it, or their counsel now knows or believes to be true with respect to the subject matter of the Released Plaintiffs’ Claims or Released Defendants’ Claims, but (a) the Releasing Plaintiff Parties shall expressly fully, finally, and forever waive, compromise, settle, resolve, relinquish, discharge, extinguish and release, and each Releasing Plaintiff Party shall be deemed to have waived, compromised, settled, resolved, relinquished, discharged, extinguished, and released, and, upon the Effective Date, and by operation of the Judgment, shall have waived, compromised, settled, resolved, relinquished, discharged, extinguished, and released, fully, finally, and forever, any and all Released Plaintiffs’ Claims against the Released Defendant Parties, known or unknown,

suspected or unsuspected, contingent or non-contingent, accrued or unaccrued, whether or not concealed or hidden, which now exist, or heretofore have existed, or may hereafter exist, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities, and (b) the Released Defendant Parties shall expressly fully, finally, and forever waive, compromise, settle, resolve, relinquish, discharge, extinguish and release, and, upon the Effective Date, and by operation of the Judgment, shall have waived, compromised, settled, resolved, relinquished, discharged, extinguished, and released, fully, finally, and forever, any and all Released Defendants' Claims against Lead Plaintiffs, the Settlement Class and Lead Plaintiffs' Counsel, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities. The Settling Parties acknowledge, and the Releasing Plaintiff Parties and Released Defendant Parties shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and is an essential element of the Settlement of which this release is a part.

10. These releases shall be of no force or effect unless and until the Court approves the Stipulation and the Settlement becomes effective on the Effective Date.

11. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any claim or matter released pursuant to this release or any other part or portion thereof.

12. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases, acquisitions, sales, and dispositions of Arconic Securities during the requested period and the quantity of Arconic Securities held by me (us) at the opening of and the close of trading on the requested dates.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Executed this _____ day of _____ 2023 in _____, _____.
(Month) (City) (State/Country)

Signature of Claimant

Print your name here

Signature of Joint Claimant, if any

Print your name here

Signature of person signing on behalf of Claimant

Print your name here

Capacity of person signing on behalf of Claimant, if other than an individual (e.g., Executor, President, Custodian, etc.)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

1. Remember to sign the above Release and Certification.
2. If this claim is being made on behalf of Joint Claimants, then both must sign.
3. Remember to attach only copies of acceptable supporting documentation. Failure to provide all the acceptable documentation and transactions requested may result in the rejection of your claim in part or in full.
4. Do not send originals of securities certificates.
5. Keep a copy of your Claim Form and all supporting documentation for your records.
6. If you would like acknowledgement of the receipt of your Proof of Claim by the Claims Administrator, please send it by certified mail, return receipt requested, or its equivalent. **No other formal acknowledgment will be provided, and you will bear all risks of delay or non-delivery of your claim.**
7. If your address changes in the future, or if these documents were sent to an old or incorrect address, please send us **written** notification of your new address.
8. Do not use a red pen or highlighter on the Proof of Claim form or supporting documentation.

**THIS PROOF OF CLAIM MUST BE SUBMITTED ONLINE OR POSTMARKED NO LATER THAN
AUGUST 21, 2023, ADDRESSED AS FOLLOWS:**

Arconic Securities Settlement
Claims Administrator
c/o A.B. Data, Ltd.
P.O. Box 173091
Milwaukee, WI 53217
www.ArconicSecuritiesSettlement.com